



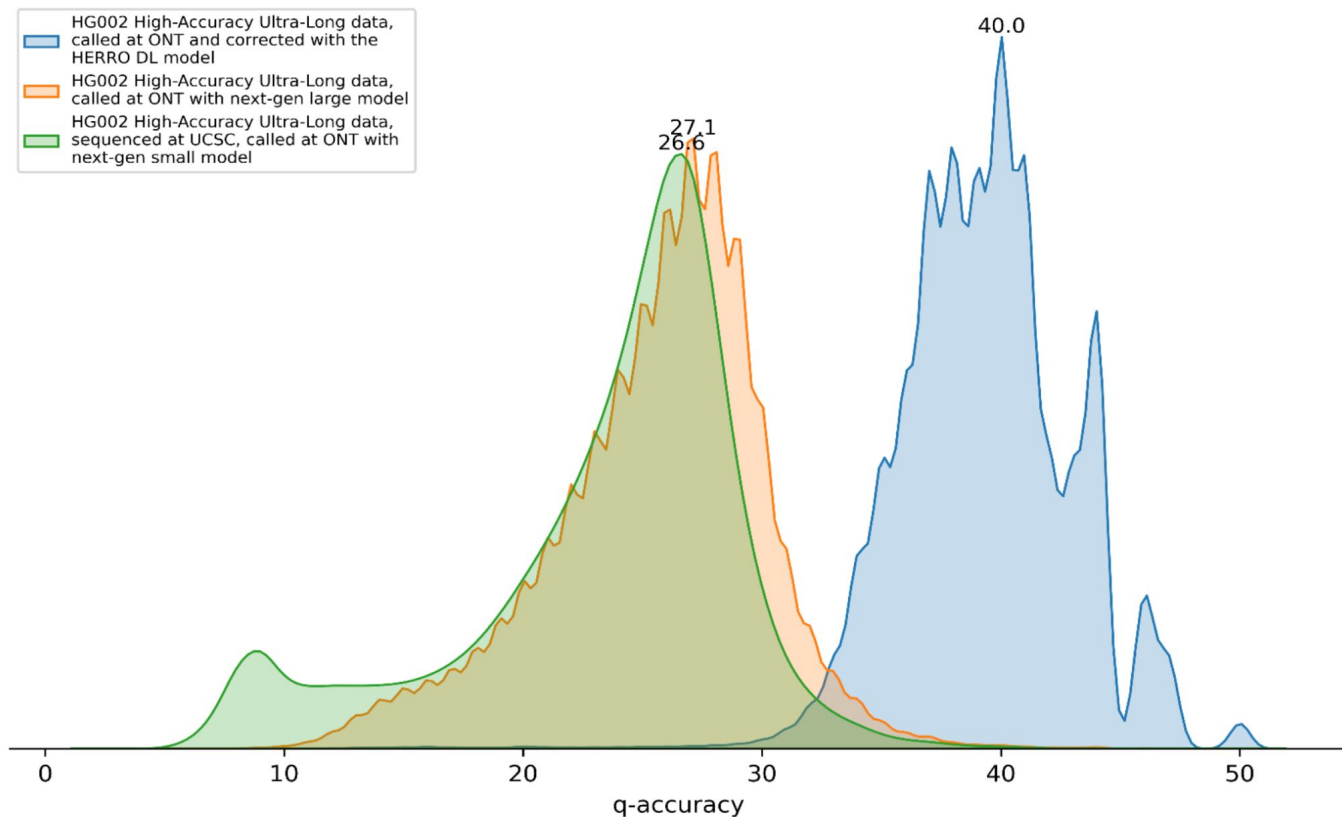
## Toward complete, T2T, genome inference with nanopore sequencing

Benedict Paten, Associate Professor, Biomolecular Engineering  
Associate Director, UC Santa Cruz Genomics Institute



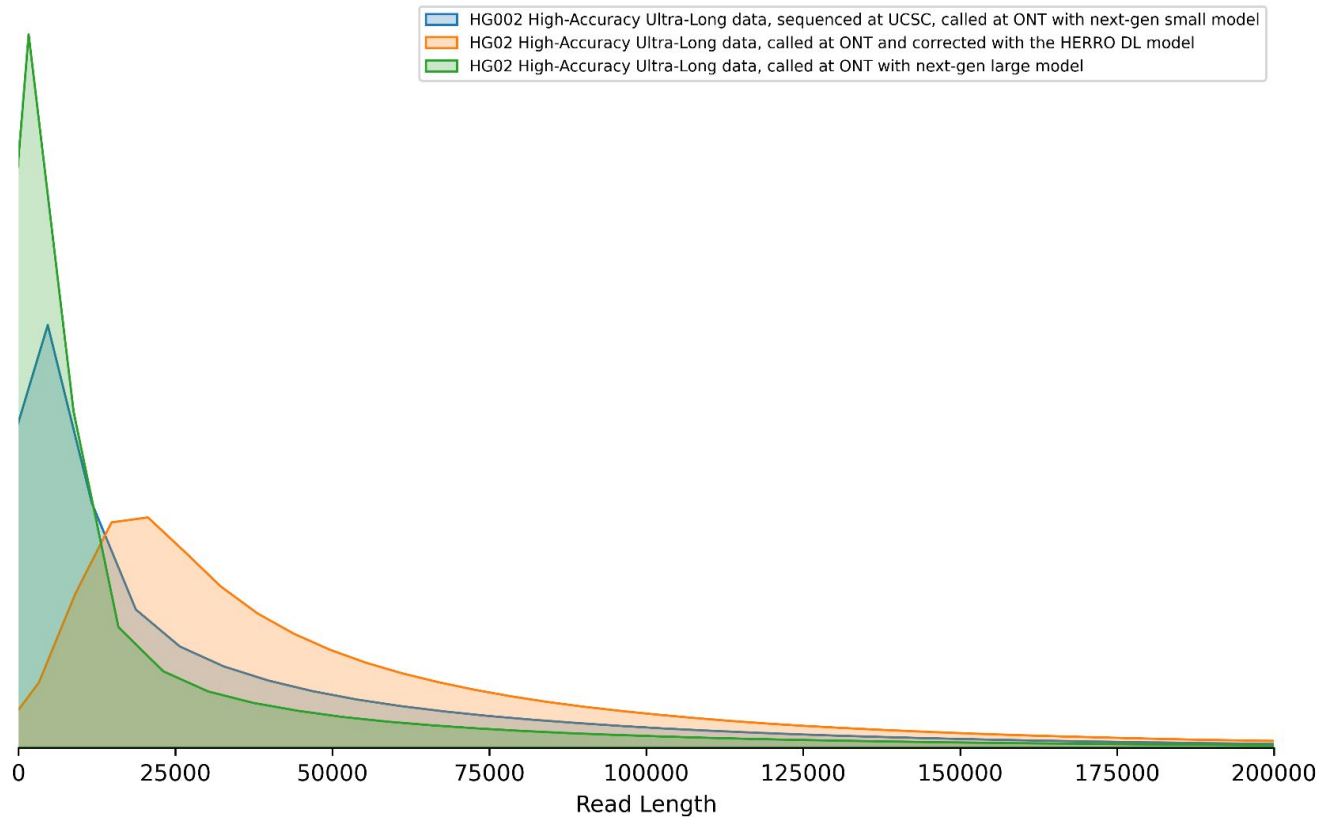
# New ONT Q27 Chemistry (pre-release)

- Improved (unreleased) base-caller and updated chemistry for R10



# New ONT Q27 Chemistry (pre-release)

- Using ultra-long prep works nicely



# Shasta, simplified

(1) Represent reads as “markers”,  $k=XX$

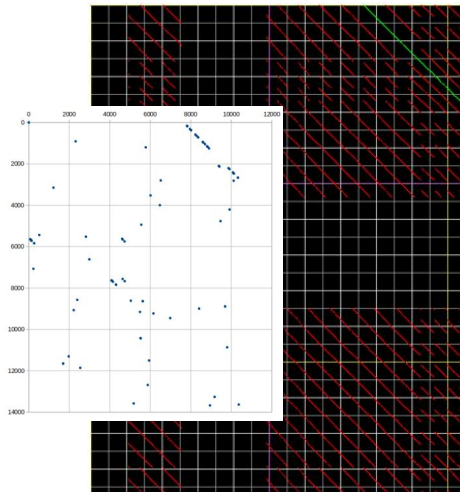
```
560      570      580      590      600      610      620      630      640      650      660
.|...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|
1122111321112213111113121111131211121112111131111211122111111212111241522531412221112111111111311111:
ATATCATCGCATGATCTGAGTACAGCTGTGACTATCACTCATATCAGACTACTGACATGTGATACTCATAGTGCTATACTACTAGTCAGTCTATGTGTATGTGTGAT/
TATCATCGCA  ATCTGAGTAC                                GACTACTGAC          TCATAGTGCT      CTAGTCAGTC  ATGTGTATGT
              GCATGATCTG                                TGACATGTGA        CATAGTGCTA      AGTCTATGTG
                  CTGAGTACAG
                    TGAGTACAGC                                TGATGTGTG
                                           TGATGTGTG
```

# Shasta, simplified

(1) Represent reads as “markers”,  $k=XX$

```
560      570      580      590      600      610      620      630      640      650      660
.|...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|
112211113211122131111113121111131211121111211111311112111221111111212111241522531412221112111111111311111:
ATATCATCGCATGATCTGAGTACAGCTGTGACTATCACTCATATCAGACTACTGACATGTGATACTCATAGTGCTATACTACTAGTCAGTCTATGTGTATGTGTGAT/
TATCATCGCA  ATCTGAGTAC          GACTACTGAC          TCATAGTGCT          CTAGTCAGTC ATGTGTATGT
          GCATGATCTG          TGACATGTGA          CATAGTGCTA          AGTCTATGTG
          CTGAGTACAG          TGAGTACAGC          TGTGTATGTG
          TGAGTACAGC          GTGTATGTGT
```

(2) MinHash/align reads as marker sequences

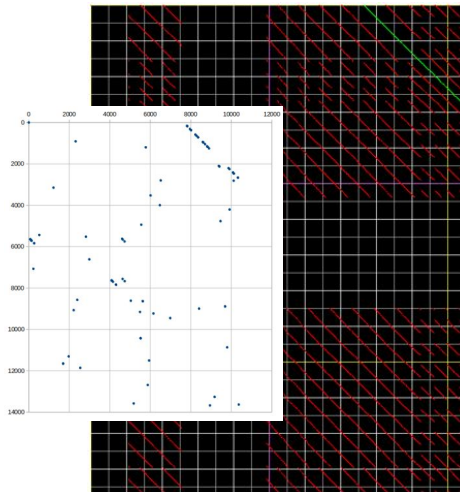


# Shasta, simplified

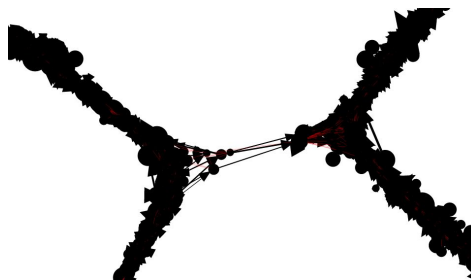
(1) Represent reads as “markers”,  $k=XX$

```
560      570      580      590      600      610      620      630      640      650      660
.|.....+.....|. ....+.....|. ....+.....|. ....+.....|. ....+.....|. ....+.....|. ....+.....|. ....+.....|. ....+.....|. ....+.....|. ....+.....|
1122111132111221311111131211111312111211112111113111121112211111112121112415225314122211121111111111311111:
ATATCATCGCATGATCTGAGTACAGCTGTGACTATCACTCATATCAGACTACTGACATGTGATACTCATAGTGCTATACTACTAGTCAGTCTATGTGTATGTGTGAT/
TATCATCGCA  ATCTGAGTAC          GACTACTGAC          TCATAGTGCT          CTAGTCAGTC  ATGTGTATGT
          GCATGATCTG          TGACATGTGA          CATAGTGCTA          AGTCTATGTG
          CTGAGTACAG          TGAGTACAGC          TGTGTATGTG
          TGAGTACAGC          GTGTATGTGT
```

(2) MinHash/align reads as marker sequences



(3) Construct read overlap graph to prune overlaps

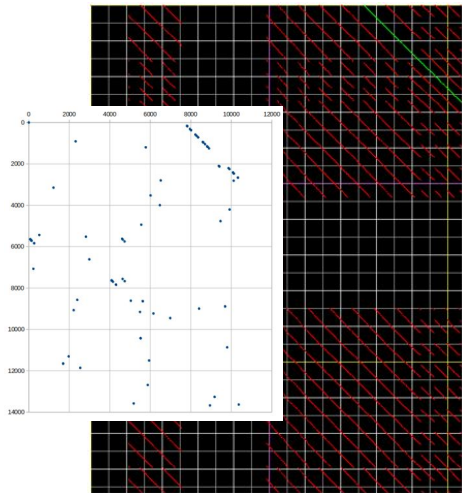


# Shasta, simplified

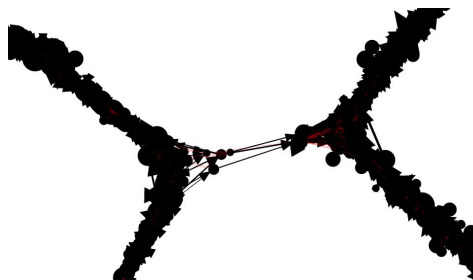
(1) Represent reads as “markers”,  $k=XX$

```
560      570      580      590      600      610      620      630      640      650      660
.|...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|. |...+...|.
112211113211122131111131211111312111211112111113111121112211111121211124152253141222111211111111311111:
ATATCATCGCATGATCTGAGTACAGCTGTGACTATCACTCATATCAGACTACTGACATGTGATACTCATAGTGCTATACTACTAGTCAGTCTATGTGTATGTGTGAT/
TATCATCGCA  ATCTGAGTAC
              GCATGATCTG
                CTGAGTACAG
                  TGAGTACAGC
                    GACTACTGAC
                      TGACATGTGA
                        TCATAGTGCT
                          CATAGTGCTA
                            CTAGTCAGTC
                              ATGTGTATGT
                                AGTCTATGTG
                                  TGTGTATGTG
                                    GTGTATGTGT
```

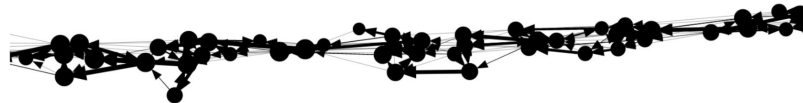
(2) MinHash/align reads as marker sequences



(3) Construct read overlap graph to prune overlaps



(4) Construct marker graph (MG) representing aligned reads



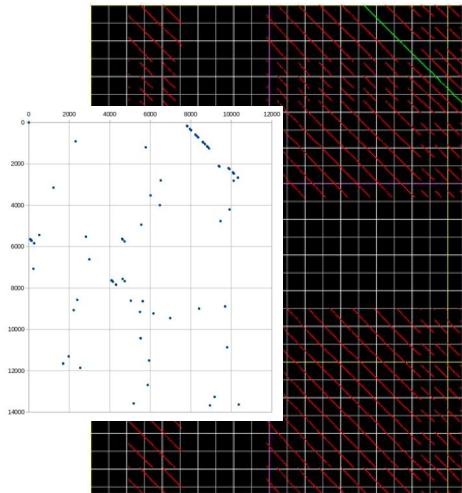


# Shasta, simplified

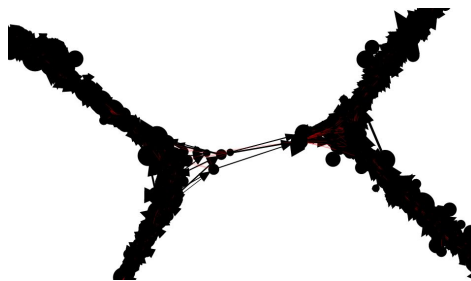
(1) Represent reads as “markers”,  $k=XX$

```
560      570      580      590      600      610      620      630      640      650      660
.|.....+.....|......+.....|......+.....|......+.....|......+.....|......+.....|......+.....|......+.....|......+.....|......+.....|......+.....|
1122111132111122131111113121111131211121111211111311112111221111111212111124152253141222111211111111113111111
ATATCATCGCATGATCTGAGTACAGCTGTGACTATCACTCATATCAGACTACTGACATGTGATACTCATAGTGCTATACTACTAGTCAGTCTATGTGTATGTGTGAT/
TATCATCGCA  ATCTGAGTAC
              GCATGATCTG
                CTGAGTACAG
                  TGAGTACAGC
GACTACTGAC      TGACATGTGA      TCATAGTGCT      CATAGTGCTA      CTAGTCAGTC  ATGTGTATGT
              AGTCTATGTG
                TGTGTATGTG
                  GTGTATGTGT
```

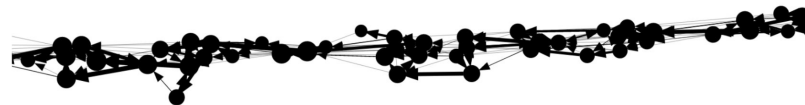
(2) MinHash/align reads as marker sequences



(3) Construct read overlap graph to prune overlaps



(4) Construct marker graph (MG) representing aligned reads



(5) NEW: Trace haplotypes in MG to assemble sequence - aka “Mode 3”



# Shasta “Mode 3” assembly

- Released in preliminary form with Shasta 0.12.0.
- Despite known issues (to be improved on in future releases), produces useful phased assemblies using high accuracy nanopore reads from the ONT December 2023 data release ([https://labs.epi2me.io/gm24385\\_ncm23\\_preview/](https://labs.epi2me.io/gm24385_ncm23_preview/)) (referred to here as *ncm23*)
- Like previous Shasta releases, uses markers, MinHash, read graph, marker graph.
- Final sequence assembly is new.
  - Uses the marker graph to locate features that are unique to a single location+haplotype in the assembly.
  - “Read following” on these unique features.
  - Then uses local assemblies to assemble sequences between unique features.
- Invoked with *--config Nanopore-ncm23-May2024*
- Sequence assembly for a human genome takes 2-5 hours on a machine of appropriate size, depending on coverage.
- Memory requirement is currently 6 bytes per input base.
  - A 1 TB machine can run a human assembly at 50x.

# Shasta assemblies

Two assemblies:

- An assembly at 38x using only the reads from the ONT release, with a 10 Kb read length cutoff.
- Total sequence assembled
- An assembly at 58x which also uses, in addition, a dataset sequenced at UCSC.
- “Single haplotype” sequence assembled is estimated based on assembled coverage

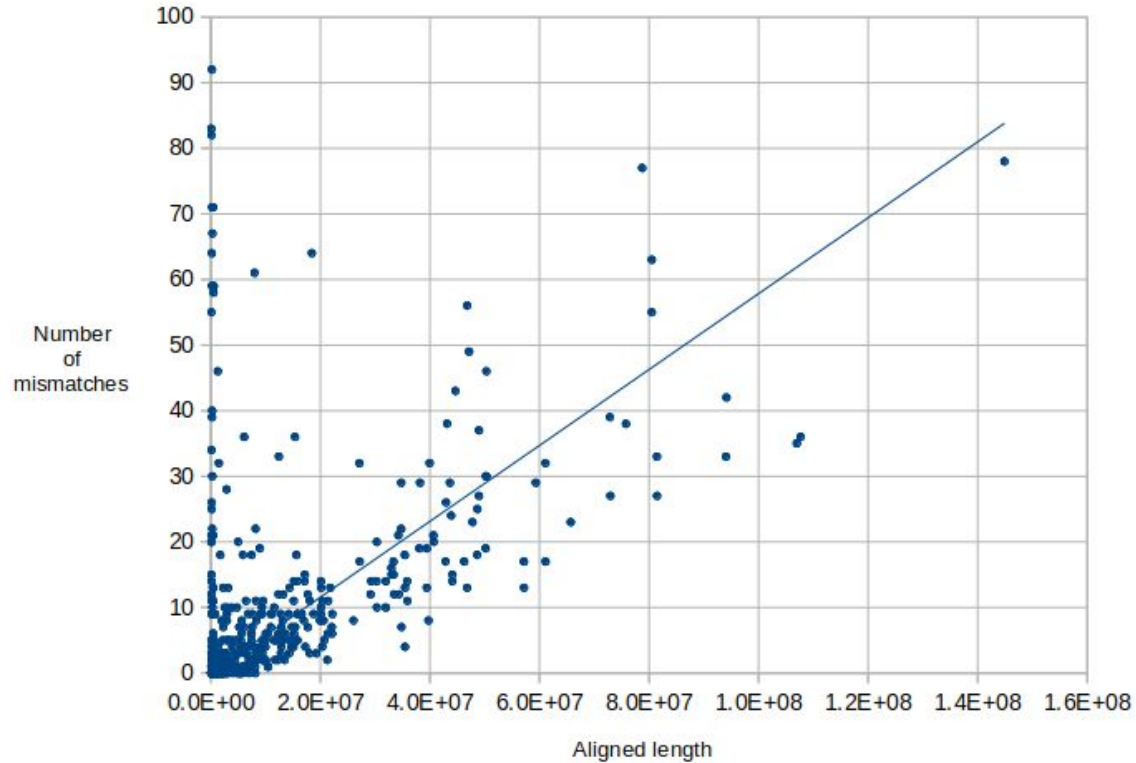
<b>Coverage</b>	<b>Total sequence assembled (Mb)</b>	<b>N50 (Mb)</b>	<b>Total “single haplotype” sequence assembled (Mb)</b>
38x	5885	16.5	5682
58x	5856	35.5	5675

# Base level sequence quality

- “Single haplotype” assembled segments are mapped to the hg002v1.0.1 reference haplotypes w/Minimap2 asm10.
- Most segments map in a single mapping.
- Count the number of mismatched, inserted, deleted bases in each alignment.
- Least square fit with constrained origin gives an estimate of mismatch, insert, delete rate.
- Mismatch rate is an overestimate because of mismatches that occur in alignments as part or complex indels.
- Insert/delete rates are dominated by long homopolymer runs.

	38x	58x
Mismatch Q	60.0	62.4
Insert Q	44.4	44.9
Delete Q	38.4	36.0

# Scatter plot for mismatches (58x assembly)



# Assembled contig mappings to the T2T Assembly

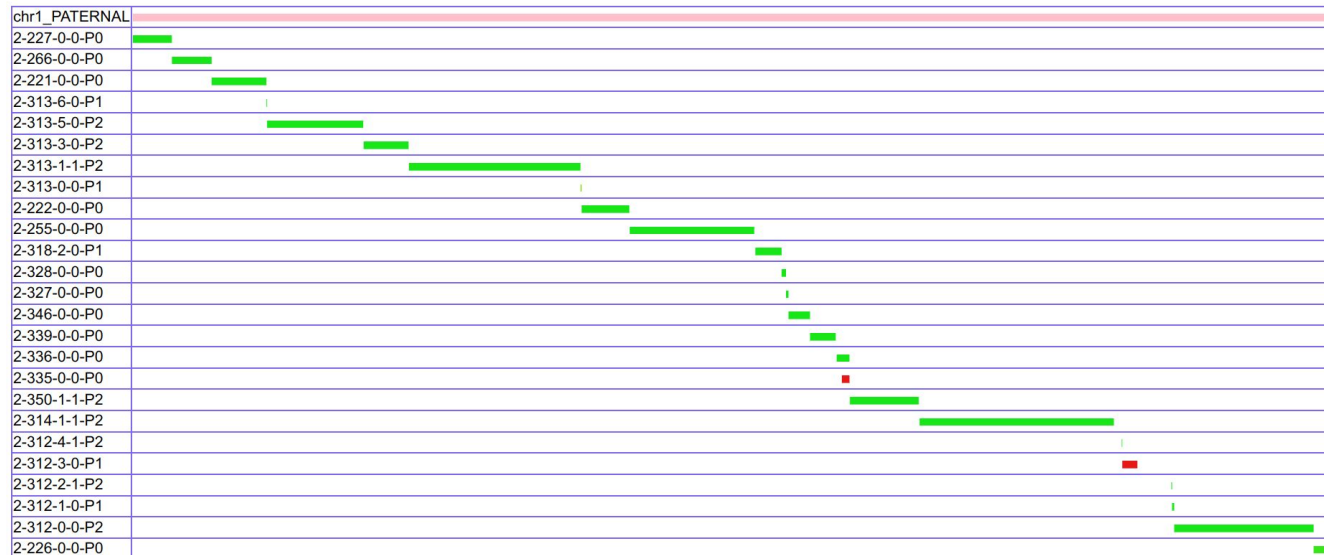
Mismatch Rate:



## Alignments to chr1\_PATERNAL

This reference segment is 252060642 bases long and has 25 alignments.

Alignments to chr1\_PATERNAL sorted by assembled segment, and for each assembled segment by begin position in chr1\_PATERNAL



## Alignments to chr12\_PATERNAL

This reference segment is 133573629 bases long and has 4 alignments.

Alignments to chr12\_PATERNAL sorted by assembled segment, and for each assembled segment by begin position in chr12\_PATERNAL



# Comparing to Hifiasm with ONT

We used **38x** and **58x** coverage ONT Ultra-Long datasets.

All Hifiasm assemblies were generated using the latest Hifiasm-0.19.9-r616 release.

1. Hifiasm was first used to generate error corrected reads (using the `--write-ec` parameter) and coverage estimates.
2. Hifiasm was then invoked with `--dbg-ovec` to generate all-vs-all read overlaps
3. Then, cis and trans overlaps were merged
4. The \*RAFT algorithm fragments the error corrected reads. The RAFT (Repeat Aware Fragmentation Tool) is an algorithm designed to improve assembly quality by rescuing contained reads.
5. The final Hifiasm run generates the assembly of the fragmented error-corrected reads using a single round of error correction (`-r1` parameter). The newly announced parameter “`--telo-m CCCTAA`” is also used to keep telomeres at the ends of contigs/scaffolds.
6. Hi-C data can optionally be integrated during the final assembly step

\* Sudhanva Shyam Kamath, Mehak Bindra, Debnath Pal, Chirag Jain, Telomere-to-telomere assembly by preserving contained reads. bioRxiv 2023.11.07.565066; doi:10.1101/2023.11.07.565066

# Assembly Stats

	Coverage: 38x			
	HG002 T2T	SHASTA	HIFIASM RAFT HERRO	HIFIASM RAFT
Assembled Length (Mb)	6,000	5,885	6,049	6,063
N50 (Mb)	147	16.4	82.8	64
L50	16	102	27	30
# of sequences	48	21,859*	395	1,613

\* Shasta uses a philosophy of outputting everything regardless of length and local complexity of the assembly graph, leaving it to the user to decide what is meaningful.



# Assembly Stats

		Coverage: 58x	
	<b>HG002 T2T</b>	<b>SHASTA</b>	<b>HIFIASM RAFT HERRO</b>
<b>Assembled Length (Mb)</b>	6,000	5,856	6,011
<b>N50 (Mb)</b>	147	35.4	84
<b>L50</b>	16	53	29
<b># of sequences</b>	48	16,542*	818

\* Shasta uses a philosophy of outputting everything regardless of length and local complexity of the assembly graph, leaving it to the user to decide what is meaningful.

# Mapping to the T2T Assembly

We mapped the assembled contigs back to the T2T HG002 v1.0.1 reference genome with the latest Minimap2 v2.28 using the “asm10” preset and evaluated the primary alignments

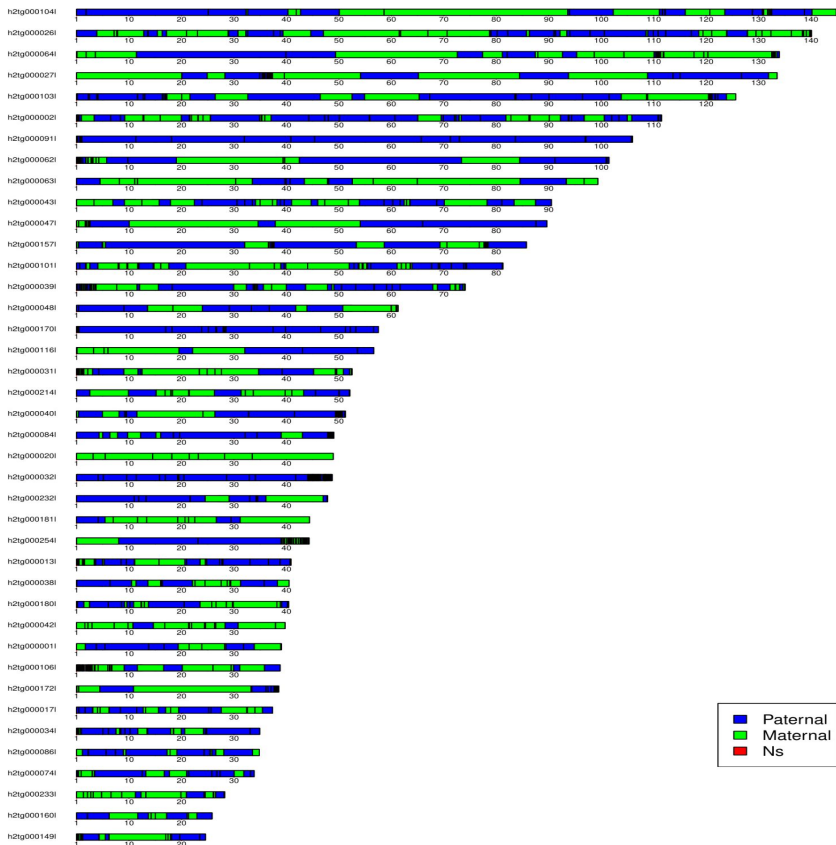
If a contig has a mix of maternal and paternal alleles, it might align to either the maternal or the paternal chromosome

OR

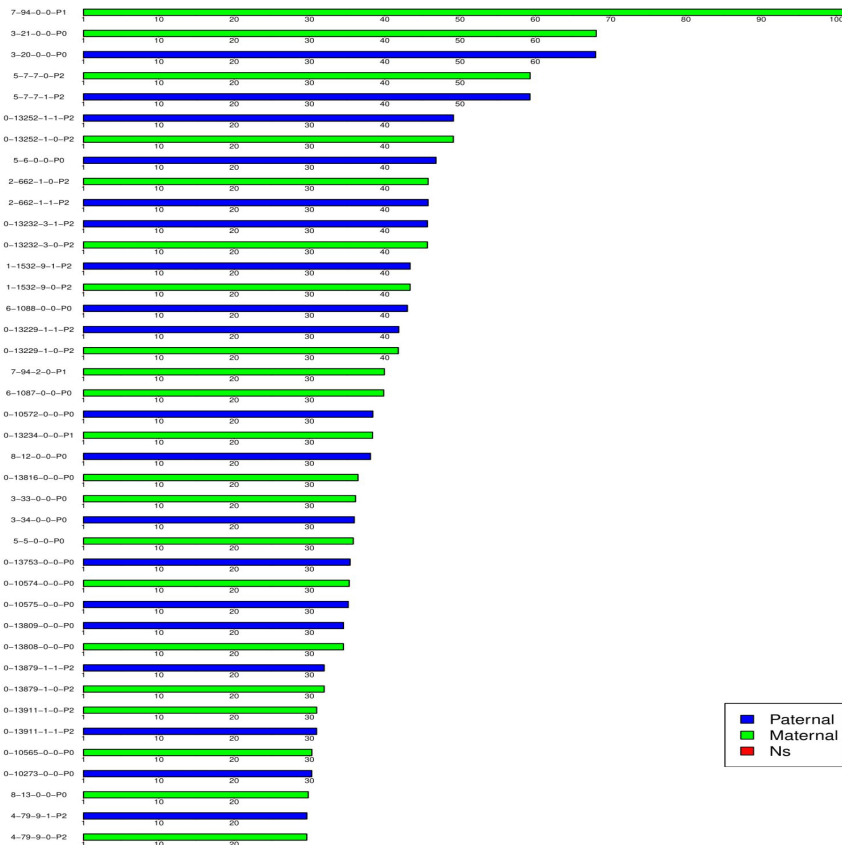
it could split and have parts of it aligned to one haplotype and parts to the other haplotype

# Assembled contig mappings to the T2T Assembly

## Hifiasm with 38X ONT UL

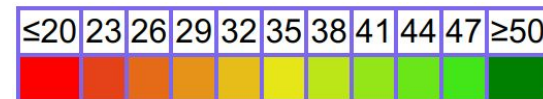


## Shasta with 38X ONT UL



# Hifiasm 38X ONT UL contig mappings to the T2T assembly

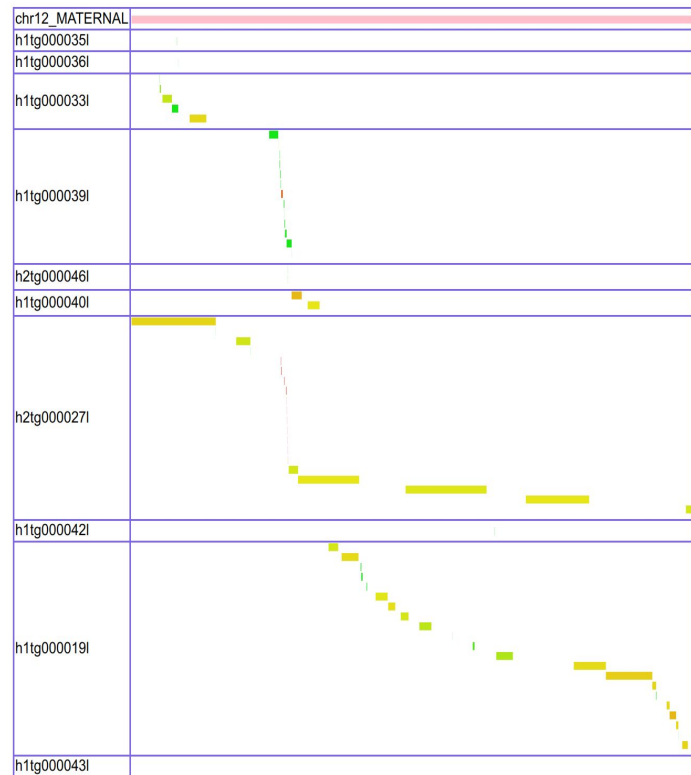
Mismatch Rate:



## Alignments to chr12\_MATERNAL

This reference segment is 133580598 bases long and has 67 alignments.

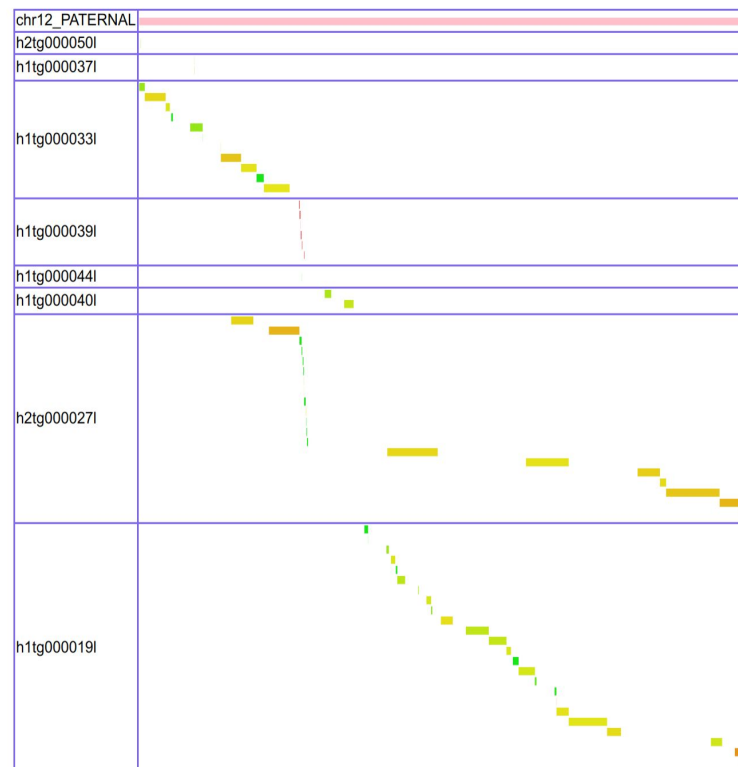
Alignments to chr12\_MATERNAL sorted by assembled segment, and for each assembled segment by begin position in chr12\_MATERNAL



## Alignments to chr12\_PATERNAL

This reference segment is 133573629 bases long and has 67 alignments.

Alignments to chr12\_PATERNAL sorted by assembled segment, and for each assembled segment by begin position in chr12\_PATERNAL

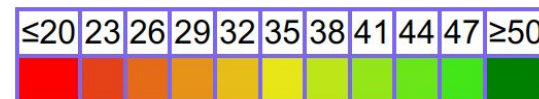


# Shasta 38X ONT UL contig mappings to the T2T assembly

## Alignments to chr12\_MATERNAL

This reference segment is 133580598 bases long and has 4 alignments.

Mismatch Rate:



Alignments to chr12\_MATERNAL sorted by assembled segment, and for each assembled segment by begin position in chr12\_MATERNAL

chr12_MATERNAL	
6-4-0-0-P0	
6-3-1-0-P2	
6-1-0-0-P0	

## Alignments to chr12\_PATERNAL

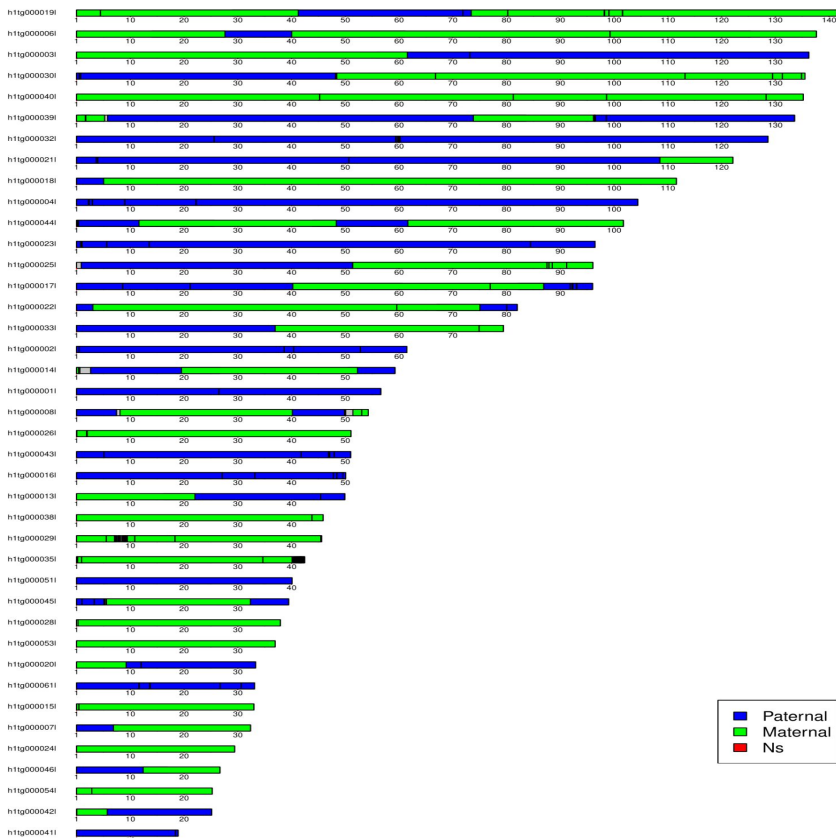
This reference segment is 133573629 bases long and has 4 alignments.

Alignments to chr12\_PATERNAL sorted by assembled segment, and for each assembled segment by begin position in chr12\_PATERNAL

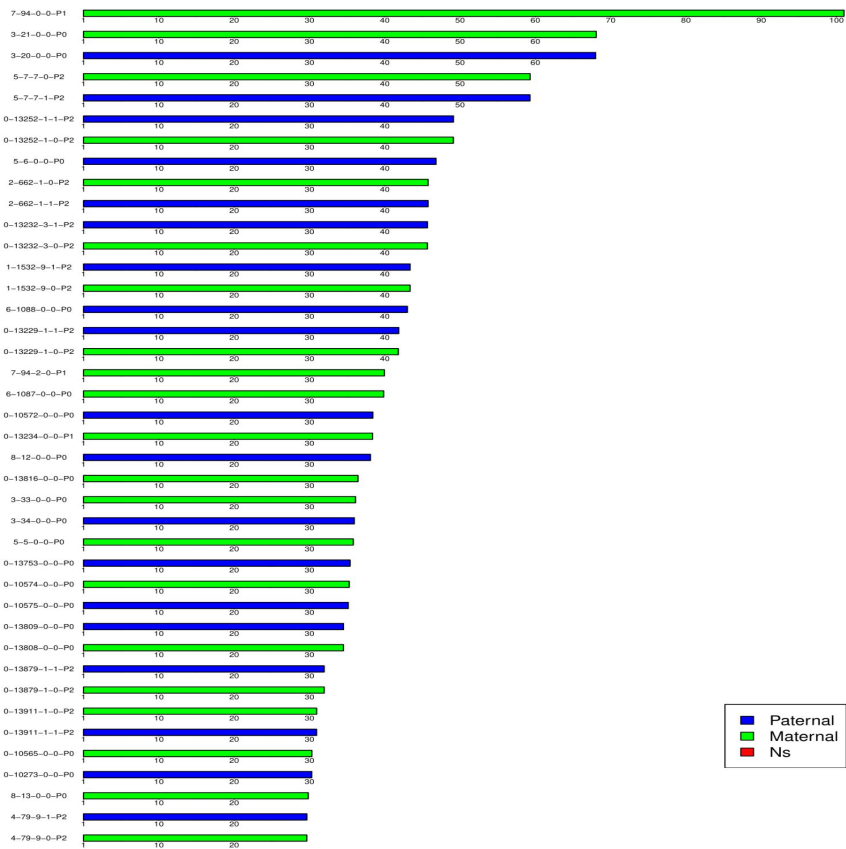
chr12_PATERNAL	
6-2-0-0-P0	
6-3-1-1-P2	
6-3-2-0-P1	
6-0-0-0-P0	

# Assembled contig mappings to the T2T Assembly

Hifiasm with 38X ONT UL Data  
HERRO Corrected



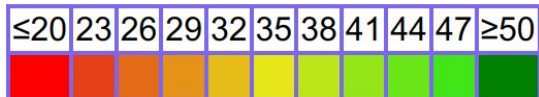
Shasta with 38X ONT UL



■ Paternal  
■ Maternal  
■ Ns

■ Paternal  
■ Maternal  
■ Ns

## Mismatch Rate:

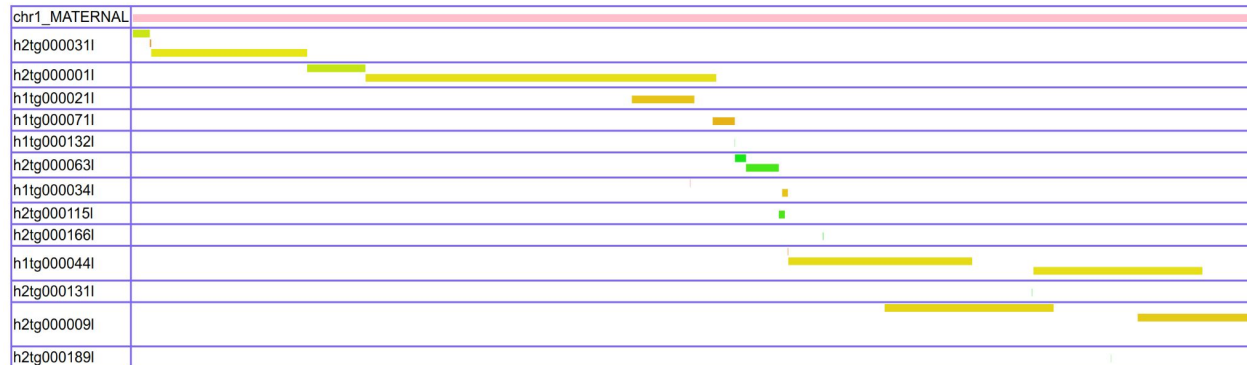


**Hifiasm 38X ONT UL  
HERRO Corrected**

## Alignments to chr1\_MATERNAL

This reference segment is 244022132 bases long and has 23 alignments.

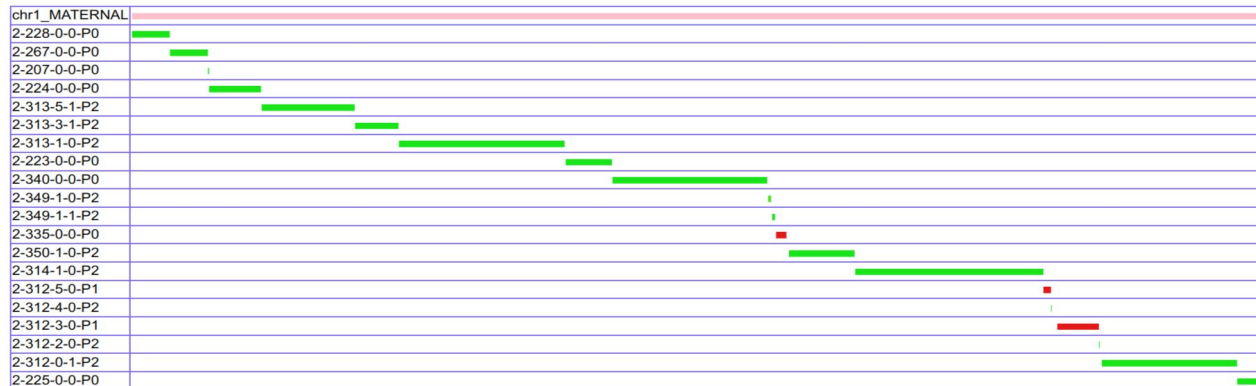
Alignments to chr1\_MATERNAL sorted by assembled segment, and for each assembled segment by begin position in chr1\_MATERNAL



## Alignments to chr1\_MATERNAL

This reference segment is 244022132 bases long and has 20 alignments.

Alignments to chr1\_MATERNAL sorted by assembled segment, and for each assembled segment by begin position in chr1\_MATERNAL



**Shasta 38X ONT UL**



# Compleasm\*

**Model Organism:**

*H. sapiens*

**Lineage Gene Set:**

primates\_odb10

38x ONT Ultra-Long reads

<b>N = 13780</b>	<b>HG002 T2T</b>	<b>SHASTA</b>	<b>HIFIASM RAFT HERRO</b>	<b>HIFIASM RAFT</b>
<b>Single Copy</b>	470 (3.41%)	951 (6.90%)	340 (2.47%)	452 (3.28%)
<b>Duplicated</b>	13,299 (95.51%)	12,779 (92.74%)	13,428 (97.45%)	13,317 (96.64%)
<b>Fragmented</b>	7 (0.05%)	26 (0.19%)	8 (0.06%)	7 (0.05%)
<b>Missing</b>	4 (0.03%)	24 (0.17%)	4 (0.03%)	4 (0.03%)

\* Neng Huang, Heng Li, compleasm: a faster and more accurate reimplementaion of BUSCO. *Bioinformatics*, 39, btad595, 2023. doi:10.1093/bioinformatics/btad595

# Compleasm\*

**Model Organism:**

*H. sapiens*

**Lineage Gene Set:**

primates\_odb10

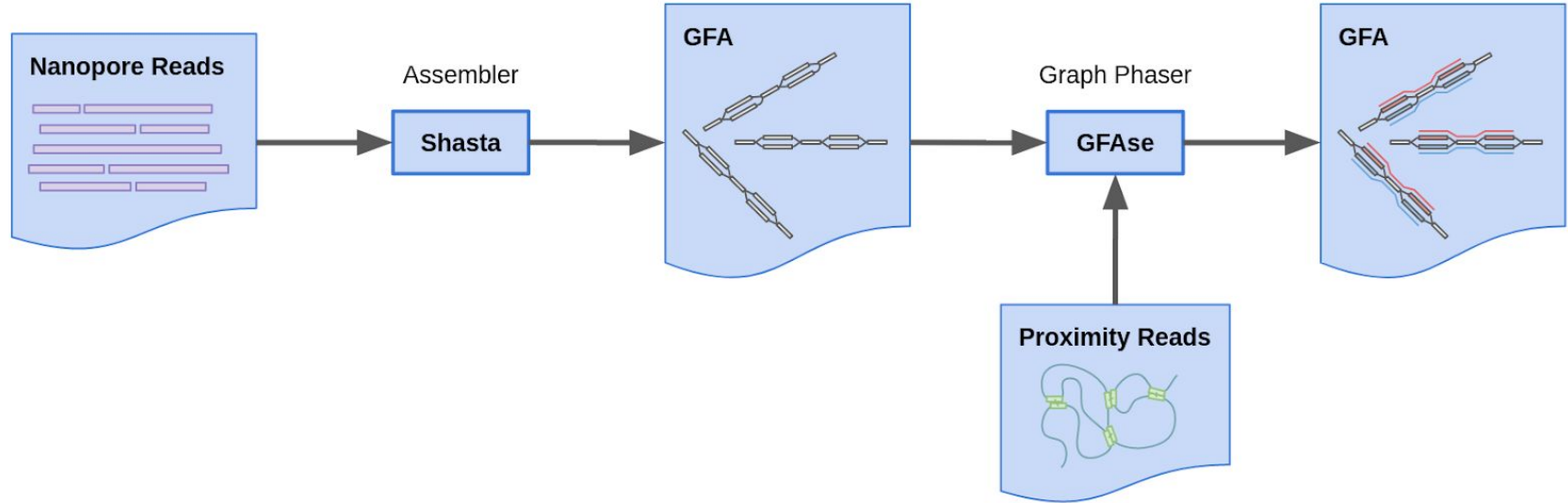
58x ONT Ultra-Long reads

<b>N = 13780</b>	<b>HG002 T2T</b>	<b>SHASTA</b>	<b>HIFIASM RAFT HERRO</b>
<b>Single Copy</b>	470 (3.41%)	997 (7.24%)	519 (3.77%)
<b>Duplicated</b>	13,299 (95.51%)	12,756 (92.57%)	13,250 (96.15%)
<b>Fragmented</b>	7 (0.05%)	13 (0.10%)	7 (0.05%)
<b>Missing</b>	4 (0.03%)	14 (0.10%)	4 (0.03%)

\* Neng Huang, Heng Li, compleasm: a faster and more accurate reimplement of BUSCO. *Bioinformatics*, 39, btad595, 2023. doi:10.1093/bioinformatics/btad595

# Shasta + GFase

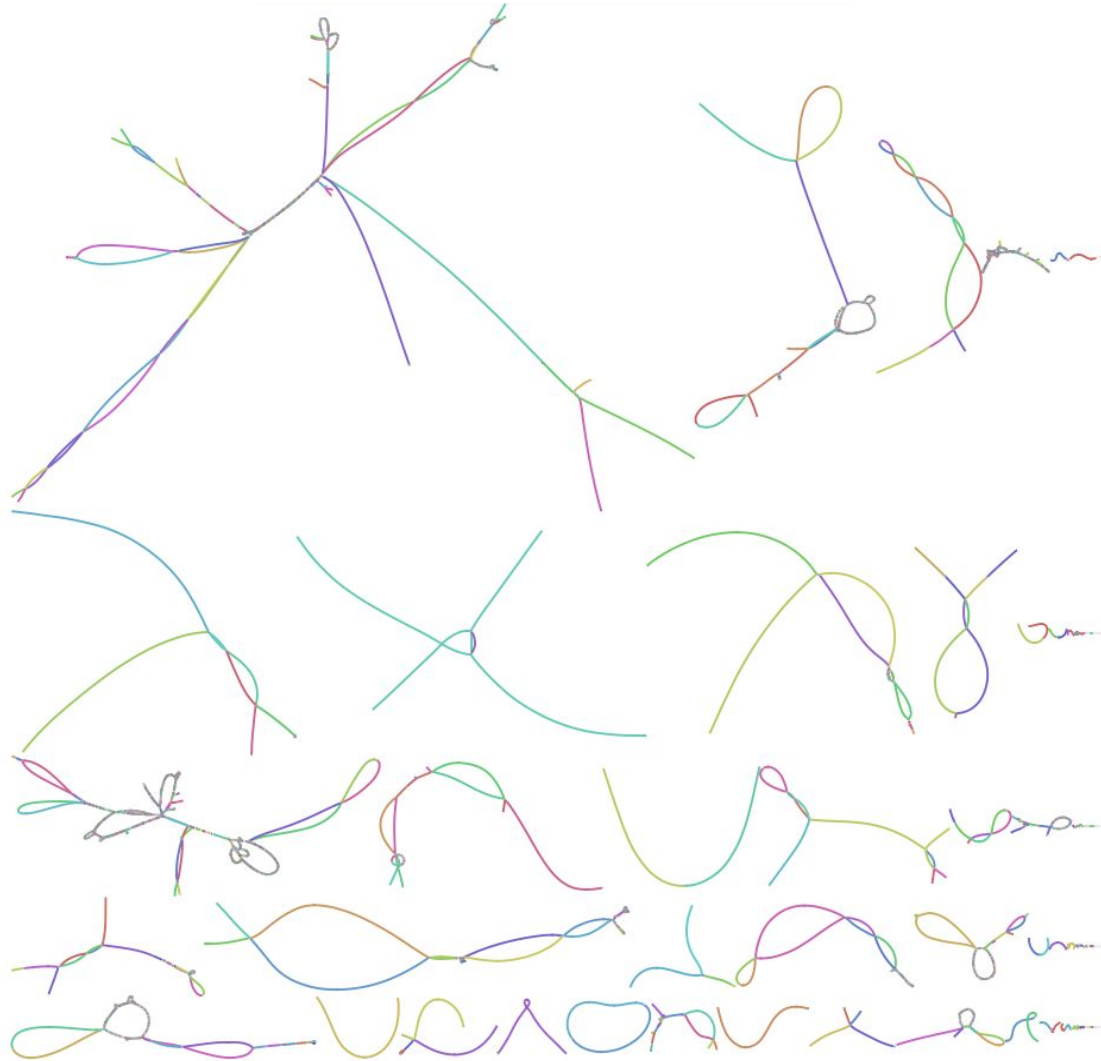
We further phased the assemblies with Hi-C using GFase



*See: Phased nanopore assembly with Shasta and modular graph phasing with GFase, Lorig-Roach et al. Genome Research, 2024*

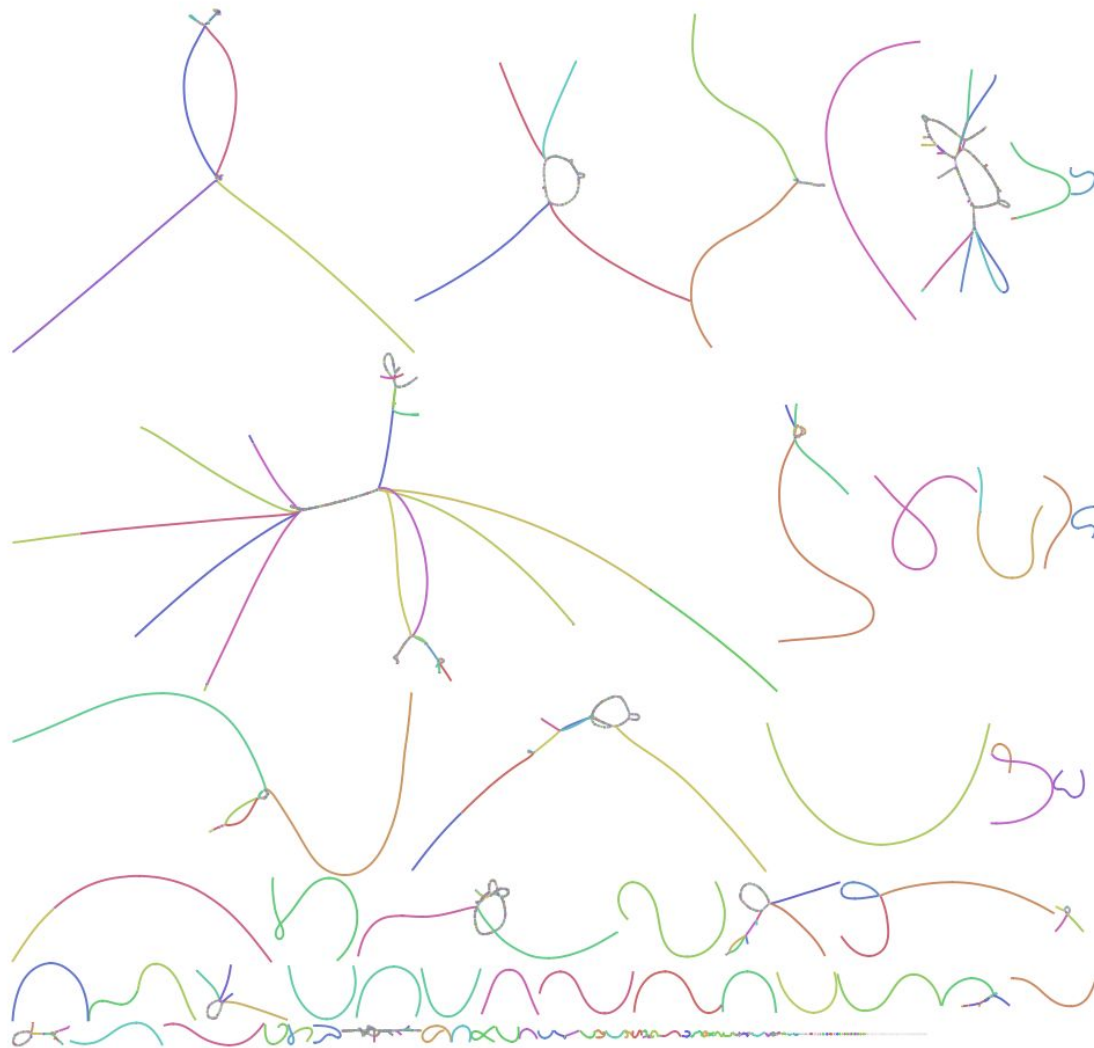
# Shasta (58x)

- Bandage plot of assembly graph
- Before GFase

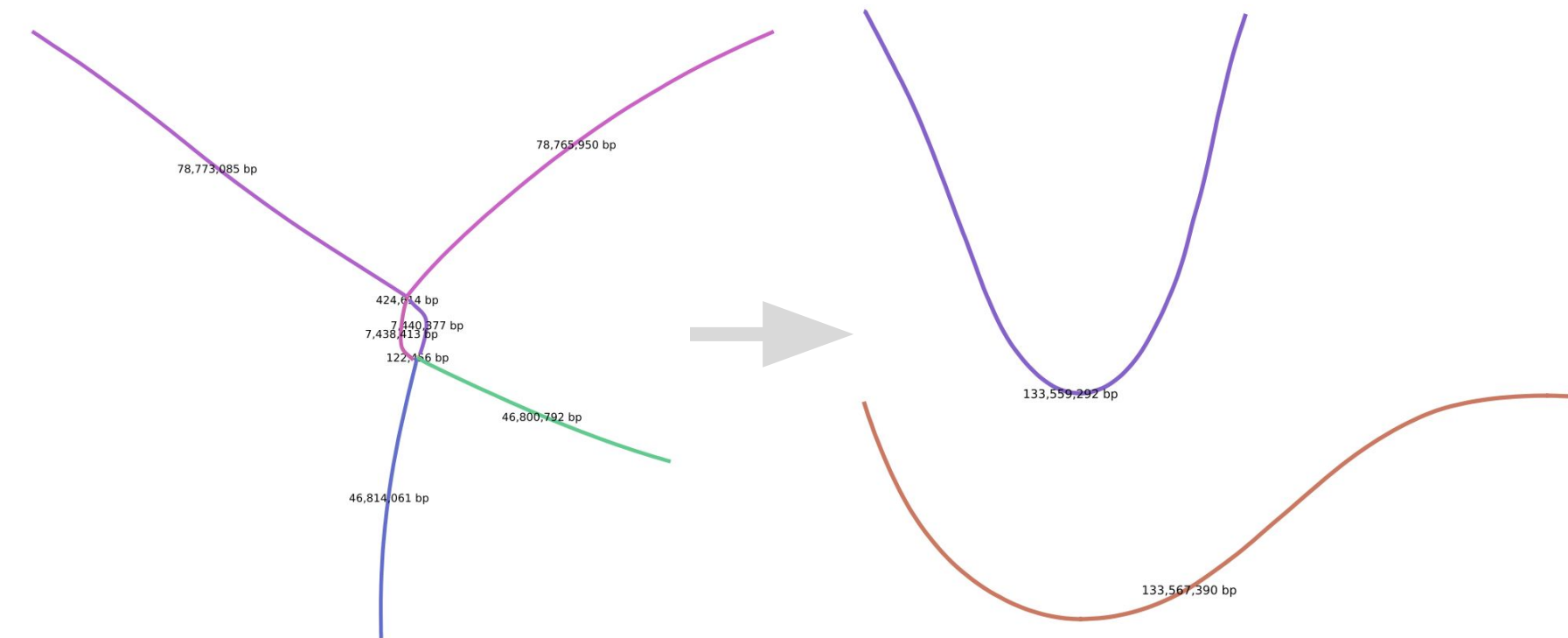


# Shasta (58x)

- Bandage plot of assembly graph
- After GFAse



# Shasta + GFAse



# Assembly Stats with Hi-C

	Coverage: 38x			
	HG002 T2T	SHASTA + GFase	HIFIASM RAFT HERRO	HIFIASM RAFT
<b>Assembled Length (Mb)</b>	6,000	5,966	5,997	6,044
<b>N50 (Mb)</b>	147	54,9	79,3	61,7
<b>L50</b>	16	33	29	32
<b># of sequences</b>	48	21,130*	401	1,673

\* Shasta uses a philosophy of outputting everything regardless of length and local complexity of the assembly graph, leaving it to the user to decide what is meaningful.



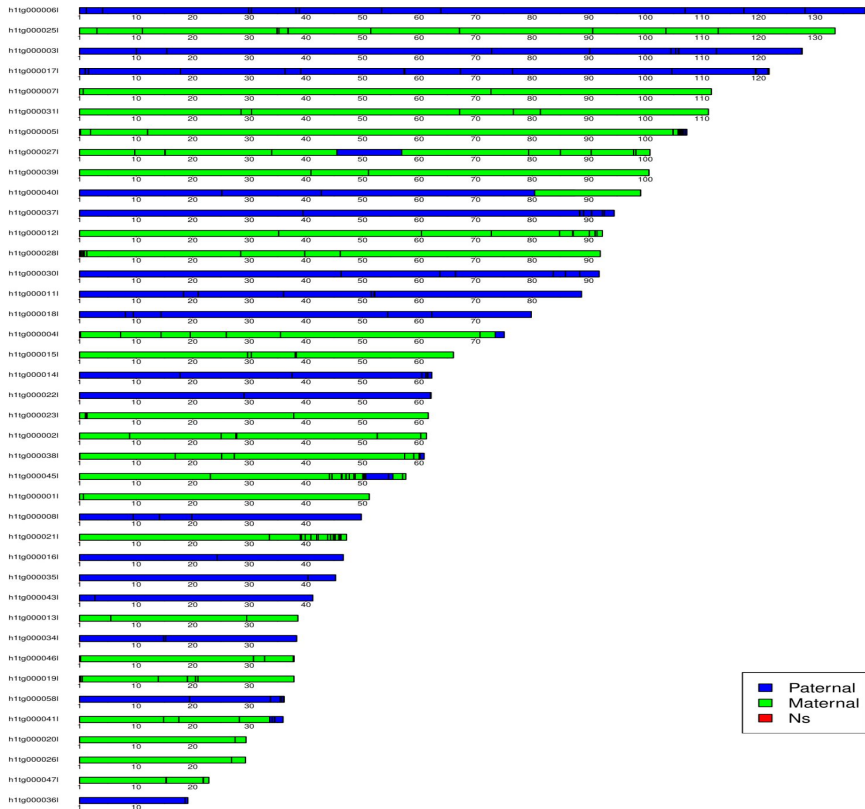
## Assembly Stats with Hi-C

	Coverage: 58x		
	HG002 T2T	SHASTA + GFase	HIFIASM RAFT HERRO
Assembled Length (Mb)	6,000	5,951	6,022
N50 (Mb)	147	70.5	79.8
L50	16	29	29
# of sequences	48	16,127*	846

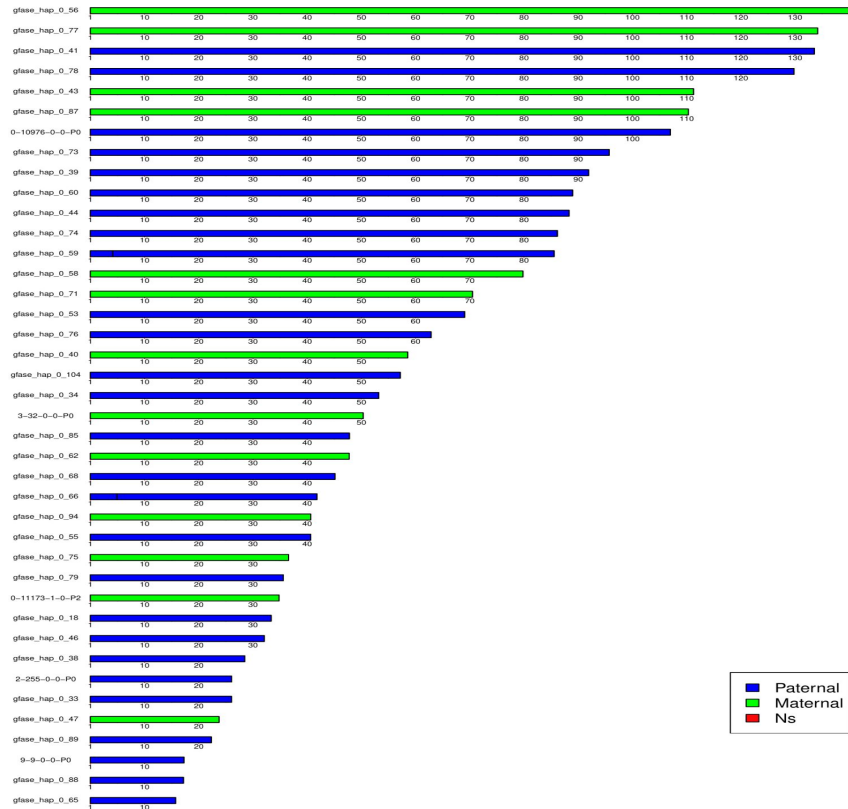
\* Shasta uses a philosophy of outputting everything regardless of length and local complexity of the assembly graph, leaving it to the user to decide what is meaningful.

# Assembled contig mappings to the T2T Assembly

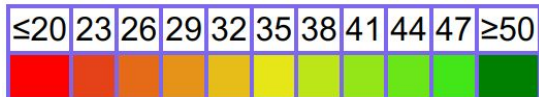
## Hifiasm with 58X ONT UL Data HERRO Corrected + HiC



## Shasta with 58X ONT UL Data + GFAse with HiC



## Mismatch Rate:

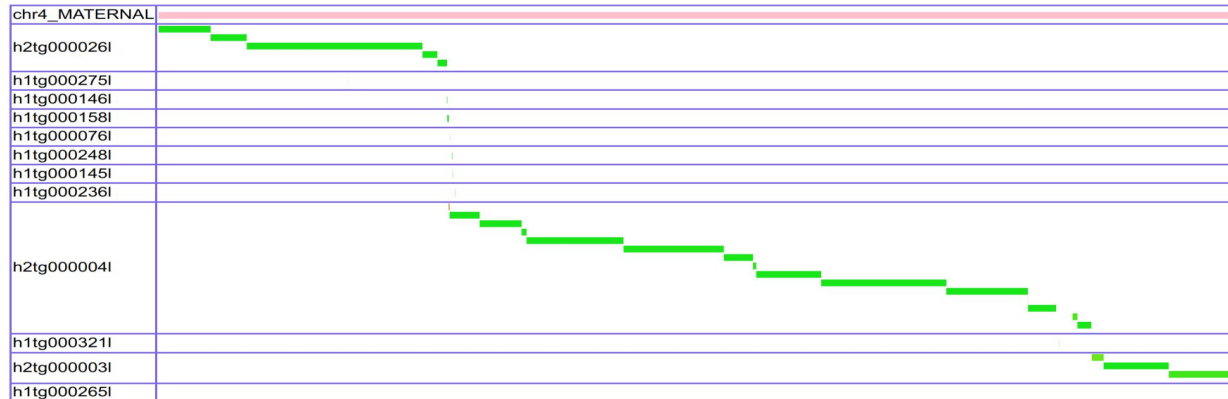


**Hifiasm with 58X ONT UL  
HERRO Corrected + HiC**

## Alignments to chr4\_MATERNAL

This reference segment is 191670063 bases long and has 32 alignments.

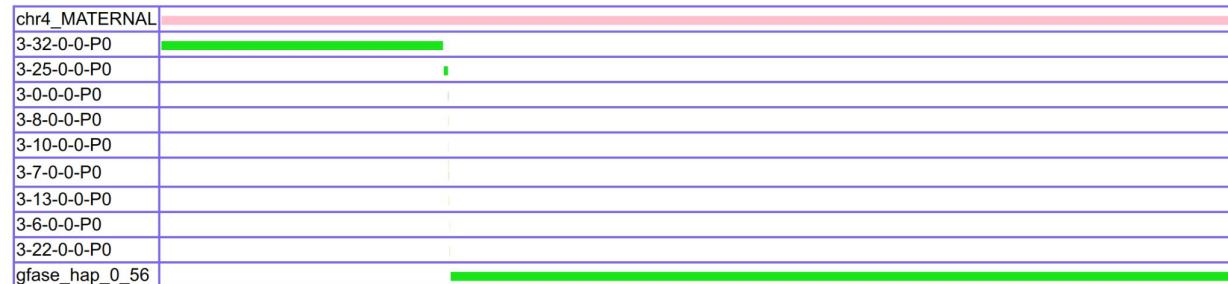
Alignments to chr4\_MATERNAL sorted by assembled segment, and for each assembled segment by begin position in chr4\_MATERNAL



## Alignments to chr4\_MATERNAL

This reference segment is 191670063 bases long and has 11 alignments.

Alignments to chr4\_MATERNAL sorted by assembled segment, and for each assembled segment by begin position in chr4\_MATERNAL



**Shasta with 58X ONT UL  
+ GFase with HiC**

# Compleasm\*

**Model Organism:**

*H. sapiens*

**Lineage Gene Set:**

primates\_odb10

38x ONT Ultra-Long reads  
+ 2 Hi-C FlowCell libraries

<b>N = 13780</b>	<b>HG002 T2T</b>	<b>SHASTA</b>	<b>HIFIASM RAFT HERRO</b>	<b>HIFIASM RAFT</b>
<b>Single Copy</b>	470 (3.41%)	482 (3.5%)	480 (3.48%)	595 (4.32%)
<b>Duplicated</b>	13,299 (95.51%)	13,283 (96.39%)	13,288 (96.43%)	13,174 (95.60%)
<b>Fragmented</b>	7 (0.05%)	9 (0.07%)	8 (0.06%)	7 (0.05%)
<b>Missing</b>	4 (0.03%)	6 (0.04%)	4 (0.03%)	4 (0.03%)

\* Neng Huang, Heng Li, compleasm: a faster and more accurate reimplement of BUSCO. Bioinformatics, 39, btad595, 2023. doi:10.1093/bioinformatics/btad595

# Compleasm\*

**Model Organism:**

*H. sapiens*

**Lineage Gene Set:**

primates\_odb10

58x ONT Ultra-Long reads  
+ 2 Hi-C FlowCell libraries

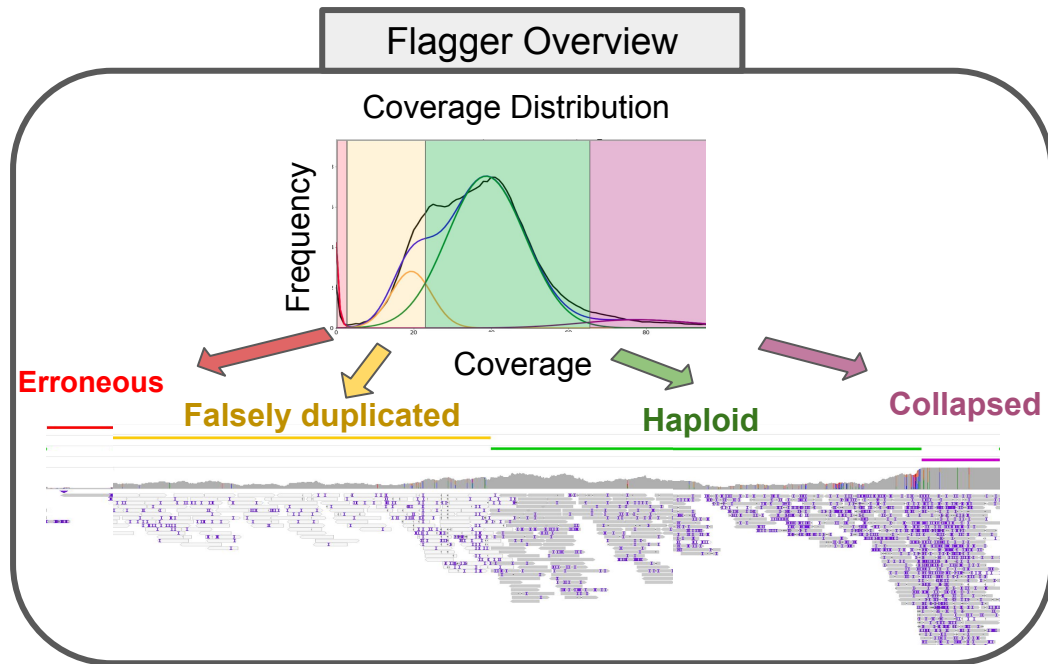
<b>N = 13780</b>	<b>HG002 T2T</b>	<b>SHASTA</b>	<b>HIFIASM RAFT HERRO</b>
<b>Single Copy</b>	470 (3.41%)	471 (3.42%)	516 (3.74%)
<b>Duplicated</b>	13,299 (95.51%)	13,296 (96.49%)	13,253 (96.18%)
<b>Fragmented</b>	7 (0.05%)	8 (0.06%)	7 (0.05%)
<b>Missing</b>	4 (0.03%)	5 (0.04%)	4 (0.03%)

\* Neng Huang, Heng Li, compleasm: a faster and more accurate reimplement of BUSCO. Bioinformatics, 39, btad595, 2023. doi:10.1093/bioinformatics/btad595

# Assembly QC: Flagger :

## A read-mapping-based pipeline for assessing diploid assemblies

- Flagger takes **long reads (ONT or HiFI)** mapped to the diploid assembly in a haplotype-aware manner and finds read depth of coverages along the assembly.
- It then uses a **Gaussian Mixture Model** to infer the coverage boundaries for
  - Well-assembled blocks (**Haploid**)
  - and 3 kinds of unreliable blocks which can be either
    - **Erroneous**,
    - **Falsely duplicated**
    - **Collapsed**

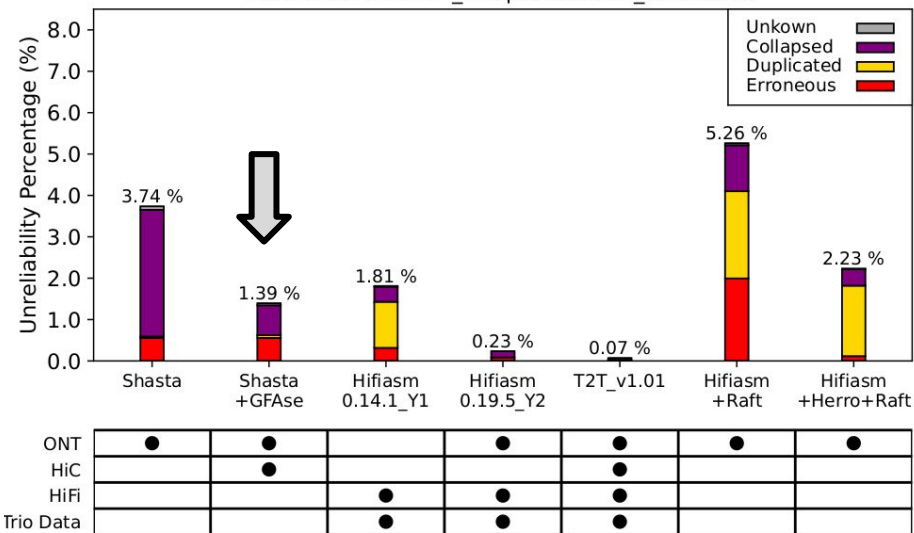


# Benchmarking Shasta and GFase assemblies with Flagger

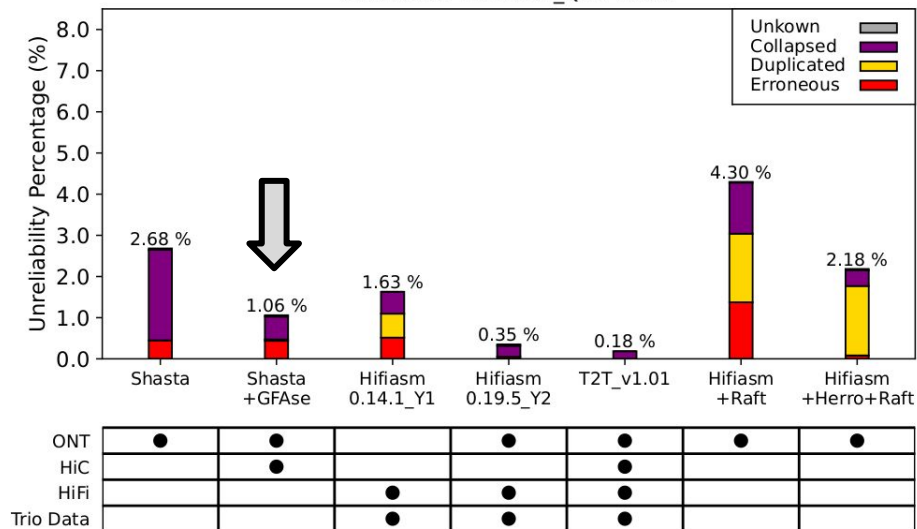
## Results For Whole Genome

- Flagger results using both HiFi and ONT reads confirm that Shasta+GFase assemblies have comparable structural accuracy with HPRC-Year1 assemblies produced with HiFiasm assembler.
- Recent version of Hifiasm assembler outperforms Shasta+GFase partly due to employing high accuracy HiFi reads and taking phasing information from parental reads, which are not used by Shasta+GFase.

Flagger (v0.4.0) Unreliability Percentages (Whole Genome)  
Evaluated with HiFi\_DeepConsensus\_v1.2 reads



Flagger (v0.4.0) Unreliability Percentages (Whole Genome)  
Evaluated with ONT\_Q27 reads

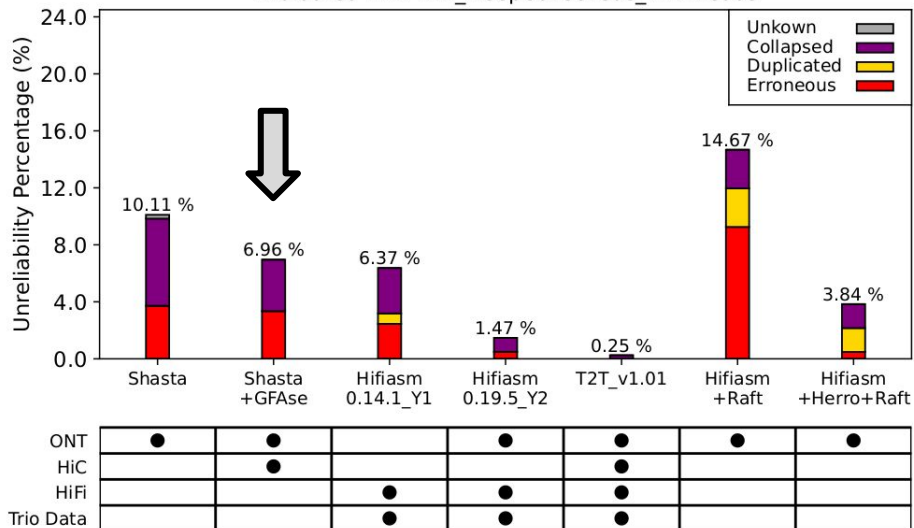


# Benchmarking Shasta and GFase assemblies with Flagger

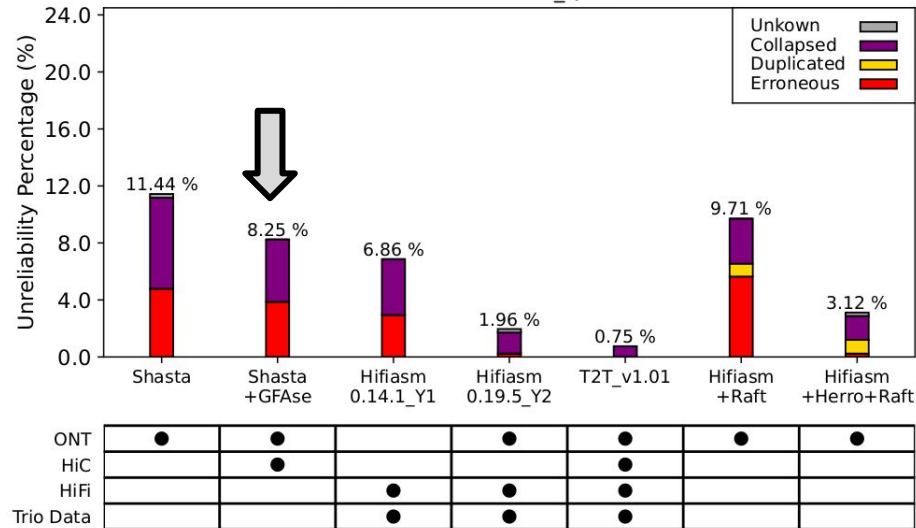
## Results For Segmental Duplications

- Similar to whole genome results, in segmental duplications (projected from CHM13-v2.0 annotation) Shasta+GFase has comparable structural accuracy with HPRC\_Y1.

Flagger (v0.4.0) Unreliability Percentages (Seg Dups)  
Evaluated with HiFi\_DeepConsensus\_v1.2 reads



Flagger (v0.4.0) Unreliability Percentages (Seg Dups)  
Evaluated with ONT\_Q27 reads



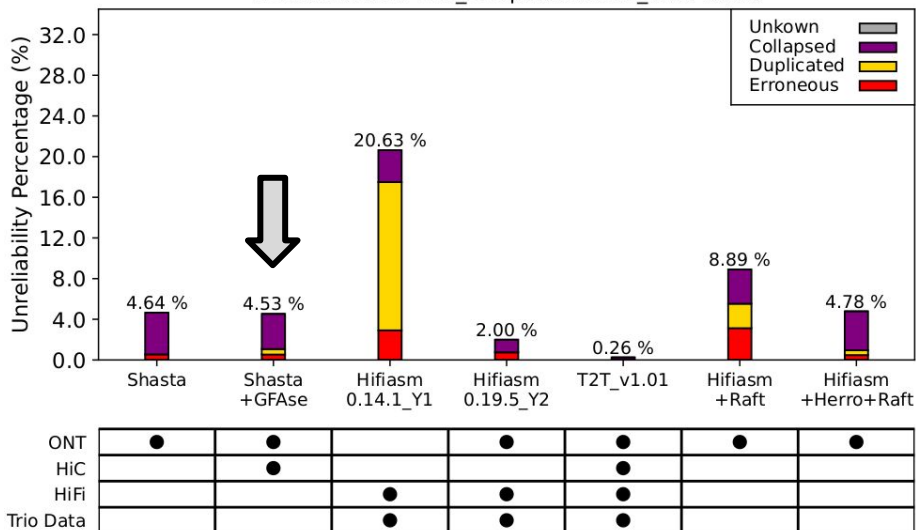


# Benchmarking Shasta and GFase assemblies with Flagger

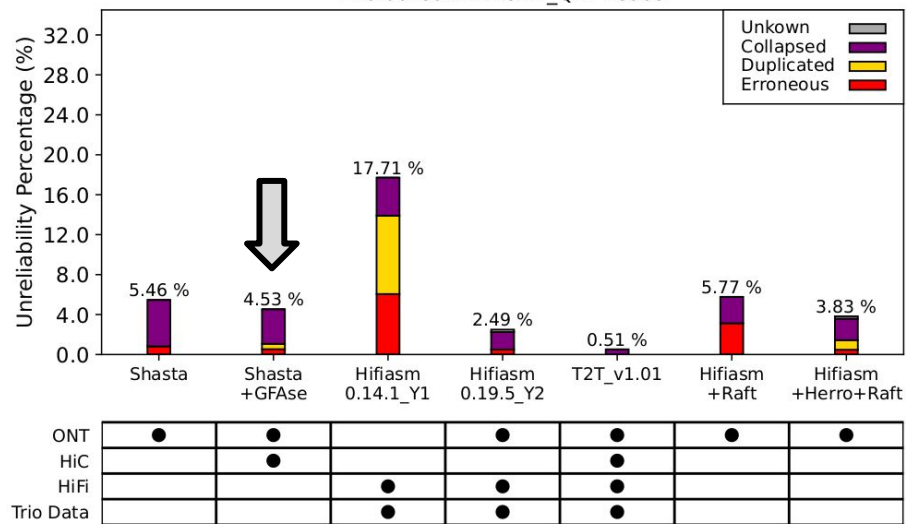
## Results For Peri/Centromeric Satellites

- In peri/centromeric satellites (projected from CHM13-v2.0 annotation) Shasta+GFase is performing better than HPRC\_Y1. Long stretches of false duplications were detected in HPRC\_Y1.
- This issue in Hifiasm was resolved in later versions of Hifiasm (HPRC\_Y2) so that the recent Hifiasm assembly slightly outperforms Shasta+GFase in satellites.

Flagger (v0.4.0) Unreliability Percentages (Peri/Centromeric satellites)  
Evaluated with HiFi\_DeepConsensus\_v1.2 reads



Flagger (v0.4.0) Unreliability Percentages (Peri/Centromeric satellites)  
Evaluated with ONT\_Q27 reads



# Future plans

- The initial Shasta release of Mode 3 assembly only includes an assembly configuration for the *ncm23* ONT reads. It may be possible to provide an assembly configuration for ONT R10 reads in a follow up release.
- Fix/improve on current known issues/limitations:
  - Strand separation sometimes leads to haplotype breaks (dangling segments).
  - Inconsistent alignments in satellite-rich regions.
  - Improved detangling could result in increased contiguity.
  - Fix a few gross inefficiencies, which will reduce memory requirements and execution times.

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